

Settlement Agreements- Fiscal Year 2013 (07/01/2012- 06/30/2013)

HFPB/HFSRB Docket	Violation/Infraction	Final Order Executed	Settlement/Resolution	Total Estimated Value
07-39	Failure to submit a timely annual survey questionnaire	7/24/2012	\$1,000 fine	\$1,000
11-02, 11-03, 11-04	Failure to submit a timely notice of project completion and final realized costs report	7/24/2012	\$135,000 services-in-kind program to substantially expand suicide prevention training at Chicago Public Schools	\$135,000
07-76	Failure to submit notice of the project's obligation and final realized costs report	9/11/2012	\$5,000 fine and \$69,600 services-in-kind program to substantially expand the number of individuals with appropriate training in farm/rural rescue	\$74,600
07-83	Discontinued a service without a CON	9/11/2012	\$58,836.48 services-in-kind program to provide otolaryngology services for free to patients who are uninsured or underinsured	\$58,836.48
12-03	Failure to obtain a CON prior to establishing a health care facility	10/31/2012	\$41,250 fine and \$123,750 services-in-kind program providing radiation therapy services	\$165,000
12-04	Discontinued a service without a CON	10/31/2012	\$10,000 fine and \$10,000 services-in-kind program providing diabetes care	\$20,000
11-06	Failure to timely complete project and submit final realized costs report	2/25/2013	\$10,000 fine and \$52,280 services-in-kind program involving hispanic health fairs over a 4-year period	\$62,280
07-80, 07-81	Failure to submit annual progress report and notice of completion and failure to timely complete project	2/25/2013	\$3,500 services-in-kind program for community outreach and seminars, extended clinic hours and hire two medical professionals for a two-year period amounting to \$770,000	\$773,500
12-09	Failure to timely complete project	2/25/2013	\$15,000 fine and \$100,000 services-in-kind program providing respite services	\$115,000
08-02, 08-03, 08-04	Failure to submit post-permit reporting requirements	3/25/2013	\$150,000 services-in-kind program involving donations, expansion of a newsletter and senior leadership outreach	\$150,000
13-02	Cost overrun	5/14/2013	\$125,000 fine	\$125,000
11-07, 12-02	Failure to obtain a CON prior to a change of ownership	6/26/2013	\$25,000 fine and \$50,000 services-in-kind program	\$75,000
12-08	Cost overrun	6/26/2013	\$40,755 services-in-kind program providing mammogram screenings	\$40,755

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12-07	Failure to submit annual progress report and final realized costs report	6/26/2013	\$45,000 fine and \$40,000 services-in-kind program providing free respite care and \$9,000 services-in-kind program providing wellness fairs and screenings	\$94,000
12-11	Discontinued a facility without a permit	6/26/2013	\$1,000 fine	<u>\$1,000</u>
				<u>\$1,890,971.48</u>